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PATENT  
Attorney Docket No.: SONY-06601

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Kevin K. Lym et al.

Serial No.: 10/735,338

Filed: December 12, 2003

For: **PREDICTIVE TIME STAMPING  
OF TRANSMITTED DATA**

) Group Art Unit:

) Examiner:

**TRANSMITTAL LETTER**

) 162 N. Wolfe Road

) Sunnyvale, CA 94086

) (408) 530-9700

) Customer No.: 28960

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Enclosed please find an Information Disclosure Statement, and Form PTO-1449, including copies of the references contained thereon, for filing in the U.S. Patent and Trademark Office.

The Commissioner is hereby authorized to charge any additional fee or credit overpayment to our Deposit Account No. 08-1275. **An originally executed duplicate of this transmittal is enclosed for this purpose.**

Respectfully submitted,  
**HAVERSTOCK & OWENS LLP**

Dated: June 1, 2004

By: Jonathan O. Owens  
Jonathan O. Owens  
Reg. No.: 37,902

Attorneys for Applicants

CERTIFICATE OF MAILING (37 CFR § 1.8(a))

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450

HAVERSTOCK & OWENS LLP

Date: 6/1/04 By: J. O. Owens



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**OF TRANSMITTED DATA** ) **STATEMENT**  
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P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

The citations listed below, copies attached, may be material to the examination of the above-identified application, and are therefore submitted in compliance with the duty of disclosure defined in 37 C.F.R. §§ 1.56 and 1.97. The Examiner is requested to make these citations of official record in this application.

Applicants have become aware of the following printed publications which may be material to the examination of this application:

- U.S. Patent No. 4,894,823;
- U.S. Patent No. 5,052,029;
- U.S. Patent No. 5,400,340;
- U.S. Patent No. 5,412,698;
- U.S. Patent No. 5,434,860;
- U.S. Patent No. 5,444,709;
- U.S. Patent No. 5,481,543;
- U.S. Patent No. 5,493,570;
- U.S. Patent No. 5,561,670;
- U.S. Patent No. 5,596,581;
- U.S. Patent No. 5,625,405;

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- U.S. Patent No. 5,781,599;
- U.S. Patent No. 5,859,835;
- U.S. Patent No. 5,860,060;
- U.S. Patent No. 5,933,430;
- U.S. Patent No. 5,991,307;
- U.S. Patent No. 6,172,989 B1;
- U.S. Patent No. 6,477,181 B1;
- U.S. Patent No. 6,680,944 B1;
- European Publication No. EP 0 895 378 A1;
- Michael Teener et al., "A Bus on a Diet - The Serial Bus Alternative. An Introduction to the P1394 High Performance Serial Bus." p.316-321, January 24, 1992, XP 000340753, IEEE;
- R.H.J. Bloks, "The IEEE-1394 High Speed Serial Bus," pp. 209-216, Doc. No. XP 000627671, Philips Journal of Research, Vol. 50, No. 1/2, 1996;
- IEC, "61883-1998 Standard for Digital Interface for Consumer Audio/Video Equipment," Parts 1-5, Edition 1.0, February 1998.

This Information Disclosure Statement under 37 C.F.R. §§ 1.56 and 1.97 is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that anyone or more of these citations constitutes prior art.

Respectfully submitted,  
HAVERSTOCK & OWENS LLP

Dated: June 1, 2004

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Jonathan O. Owens  
Reg. No.: 37,902

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Attorneys for Applicants

- 2 -

HAVERSTOCK & OWENS LLP,

Date: 6/1/04

By: [Signature]

FORM PTO-449 (Modified)	U.S. Department of Commerce Patent and Trademark Office			Attorney Docket No.: SONY-06601	Serial No.: 10/735,338		
INFORMATION DISCLOSURE STATEMENT BY APPLICANT & TRADES Several Sheets If Necessary) (37 CFR § 1.98(b))				Applicants: Kevin K. Lym et al.			
				Filing Date: December 12, 2003		Group Art Unit:	

## U.S. PATENT DOCUMENTS

Examiner Initials		Serial / Patent Number	Issue Date	Applicant / Patente	Class	Subclass	Filing Date
	AA	4,894,823	01/16/90	Adelmann et al.	370	60	09/11/87
	AB	5,052,029	09/24/91	James et al.	375	107	04/05/90
	AC	5,400,340	03/21/95	Hillman et al.	370	105.3	03/04/93
	AD	5,412,698	05/02/95	Van Brunt et al.	375	373	03/16/93
	AE	5,434,860	07/18/95	Riddle	370	84	04/20/94
	AF	5,444,709	08/22/95	Riddle	370	94.1	09/30/93
	AG	5,481,543	01/02/96	Veltman	370	94.1	06/10/94
	AH	5,493,570	02/20/96	Hillman et al.	370	105.3	03/15/95
	AI	5,561,670	10/01/96	Hoffert et al.	370	94.1	05/13/94
	AJ	5,596,581	01/21/97	Saeijs et al.	370	394	06/03/94
	AK	5,625,405	04/29/97	DuLac et al.	348	7	02/20/96
	AL	5,781,599	07/14/98	Shiga	375	376	09/06/95
	AM	5,859,835	01/12/99	Varma et al.	370	229	04/15/96
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	AO	5,933,430	08/03/99	Osakabe et al.	370	395	08/09/96
	AP	5,991,307	11/23/99	Komuro et al.	370	473	03/28/97
	AQ	6,172,989 B1	01/09/01	Yanagihara et al.	370	473	10/20/97
	AR	6,477,181 B1	11/05/02	Fujimori et al.	370	476	03/09/98
	AS	6,680,944 B1	01/20/04	Lym et al.	370	394	03/09/98

## FOREIGN PATENTS OR PUBLISHED FOREIGN PATENT APPLICATIONS

		Document Number	Publication Date	Country / Patent Office	Class	Subclass	Translation	
							Yes	No
	AT	EP 0 895 378 A1	03/02/99	EP	H04L	12/56		X
	AU							
	AV							

## OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication)

AW	Michael Teener et al., "A Bus on a Diet - The Serial Bus Alternative. An Introduction to the PI394 High Performance Serial Bus." p.316-321, January 24, 1992, Doc. No. XP 000340753, IEEE.
AX	R.H.J. Bloks, "The IEEE-1394 High Speed Serial Bus," pp. 209-216, Doc. No. XP 000627671, Philips Journal of Research, Vol. 50, No. 1/2, 1996.
AY	IEC, "61883-1998 Standard for Digital Interface for Consumer Audio/Video Equipment," Parts 1-5, Edition 1.0, February 1998.
AZ	
BA	
BB	
BC	
BD	
BE	

Examiner:

Date Considered:

EXAMINER: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.